

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
112300-1529In Re Application Of: **Petersen et al.**

MAY 10 2004

Serial No.  
10/660,281Filing Date  
September 10, 2003Examiner  
UnknownGroup Art Unit  
3713**Title: GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS AND AWARD  
MODIFICATION OPTIONS**

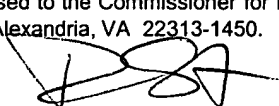
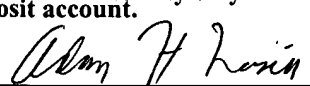
Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112300-1529
In Re Application: <b>Peterson et al.</b>			
Serial No. 10/660,281	Filing Date September 10, 2003	Examiner Unknown	Group Art Unit 3713
<b>GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS AND AWARD MODIFICATION OPTIONS</b>			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <b>02-1818</b> as described below.			
<input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.			
<b>Certificate of Transmission by Facsimile*</b>		<b>Certificate of Mailing by First Class Mail</b>	
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____)  _____ (Date)		I certify that this document and fee is being deposited on <b>May 7, 2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  	
_____ Signature		_____ Signature of Person Mailing Correspondence	
_____ Typed or Printed Name of Person Signing Certificate		_____ Typed or Printed Name of Person Mailing Certificate	
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">             _____            Signature         </div> <div style="width: 45%;">           Dated: <b>May 7, 2004</b> </div> </div>			
<b>Adam H. Masia, Reg. No. 35,602</b> <b>BELL, BOYD &amp; LLOYD LLC</b> <b>P.O. Box 1135</b> <b>Chicago, IL 60690-1135</b> <b>(312) 807-4284</b>			
CC:			



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peterson et al.  
Appl. No.: 10/660,281  
Conf. No.: Unknown  
Filed: September 10, 2003  
Title: GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS  
AND AWARD MODIFICATION OPTIONS  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 112300-1529

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
2,743,108	04/24/56	Sanders
3,618,952	11/09/71	Tallarida
4,624,459	11/25/86	Kaufman
4,695,053	09/22/87	Vazquez, Jr. et al.
4,811,953	03/14/89	Jeng
4,978,129	12/18/90	Komeda et al.
5,342,047	08/30/94	Heidel et al.
5,393,057	02/28/95	Marnell, II

Appl. No. 10/660,281  
Information Disclosure Statement

5,580,309	12/03/96	Piechowiak et al.
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5,788,573	08/04/98	Baerlocher et al.
5,823,873	10/20/98	Moody
5,833,536	11/10/98	Davids et al.
5,947,820	09/07/99	Morro et al.
5,997,401	12/07/99	Crawford
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6,089,976	07/18/0000	Schneider et al.
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6,109,610	08/29/00	Cherry et al.
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6,190,255 B1	02/20/01	Thomas et al.
6,210,279 B1	04/03/01	Dickinson
6,312,334	11/06/01	Yoseloff
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#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0945837 A2	09/29/99	EPO
EP 0984409 A2	03/08/00	Europe
WO 00/12186	03/09/00	PCT
WO 00/9732285	04/09/97	PCT

**OTHER DOCUMENTS**

By George, written by IGT, published 2002.

Fortune Cookie Brochure, written by IGT, published in 2000.

Jumble Advertisement, written by WMS Gaming Inc., published prior to 2000.

Jumble Article written by Strictly Slots, published in December 2000.

Jumble Website Printout written by WMS Gaming, Inc., printed on March 21, 2001.

Loaded Dice Advertisement, written by Konami Gambling, published in 2000.

Rainbow Riches 5 Liner, written by BCSI Corporation, undated.

Slot Machine Game with Roaming Wild Card, undated.

Sphinx Magic, written by Atronic Casino Technology, Ltd., published 1997.

Wheel of Fortune Brochure, written by IGT, published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Phone: (312) 807-4284

Dated: May 7, 2004



**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.

112300-1529

Application No.

10/660,281

Applicant

Baerlocher et al.

Filing Date

September 9, 2003

Group:

3713

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Patent Number	Issue Date	Name	Class	Subclass	Filing Date If
	2,743,108	04/24/56	Sander			
	3,618,952	11/09/71	Talarido			
	4,624,459	11/25/86	Kaufman			
	4,695,053	09/22/87	Vazquez, Jr. et al.			
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	6,004,207	12/21/99	Wilson, Jr. et al.			
	6,012,982	01/11/00	Piechowiak et al.			
	6,015,346	01/18/00	Bennett			
	6,089,976	07/18/00	Schneider et al.			
	6,102,798	08/15/00	Bennett			
	6,109,610	08/29/00	Cherry et al.			
	6,120,031	09/19/00	Adams			
	6,126,542	10/03/00	Fier			

		6,142,873	11/07/00	Weiss et al.			
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		6,159,097	12/12/00	Gura			
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		6,168,523 B1	01/02/01	Piechowiak et al.			
		6,173,955 B1	01/16/01	Perrie et al.			
		6,174,234	01/16/01	Seibert, Jr. et al.			
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		6,210,279 B1	04/03/01	Dickinson			
		6,312,334	11/06/01	Yoseloff			
		6,328,649	12/11/01	Randall et al.			
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		6,589,114 B2	07/08/03	Rose			
		6,712,694	03/30/04	Nordman			
		2003/0162579	08/28/03	Gauselmann			
		2003/0027623	02/06/03	Rose			
		2004/0053687 A1	03/18/04	Nordman			
		2004/0053671 A1	03/18/04	Nordman			

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclas s	Translation	
							Yes	No
		EP 0945837 A2	September 29, 1999					
		EP 0984409A2	March 8, 2000					



FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclasses	Translation	
							Yes	No
		WO 00/12186	March 9, 2000					
		WO 00/9732285	April 9, 1997					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	By George Advertisement, written IGT, published 2002.		
/ 8	Fortune Cookie Brochure, written by IGT, published in 2000.		
/ 2	Jumble Advertisement, written by WMS Gaming, Inc., published prior to 2000.		
/ 8	Jumble Article, written by Strictly Slots, published in December, 2000.		
/ 1	Jumble Website Printout, written by WMS Gaming, Inc., printed March 21, 2001		
/ 8	Loaded Dice Advertisement, written by Konami Gaming, published in 2000.		
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/ 2	Wheel of Fortune Brochure, written by IGT, published in 1998.		
<table border="1"> <tr> <td>Examiner:</td> <td>Dated Considered:</td> </tr> </table>		Examiner:	Dated Considered:
Examiner:	Dated Considered:		
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			